

12-22-00  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL

A  
PATENT

Attorney Docket No.: P-8640.01

Express Mail No.: ELO84632534US

First Named Inventor or Application Identifier: William J. Bertrand

Title: TOOL FOR ADJUSTING AN IMPLANTABLE ADJUSTABLE FLUID FLOW CONTROL VALVE

CERTIFICATE UNDER 37 CFR SECTION 1.10. I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service, in an envelope address "EXPRESS ELO84632534US" addressed to Box Patent Application, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this December 20, 2000

Stacy L. Lachowitz

Printed Name

Signature

BOX PATENT APPLICATION

Director of Patents and Trademarks

Washington, D.C. 20231

Sir:

We are transmitting the following:

- ☒ Patent Application Transmittal  
☒ Specification

Total Pages: 26 (cover/title page 1 sheet; specification 18 sheets; claims 6 sheets; abstract 1 sheet)

- ☒ Drawings

Total Sheets: 6 ( ☐ formal; ☒ informal)

- ☒ Combined Declaration and Power of Attorney:

☐ Newly executed (Unsigned)

☒ Copy from prior application

☐ Deletion of inventor(s) -- signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b))

☒ Incorporation by reference -- *The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied above is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.*

- ☒ Accompanying application parts:

☒ Notification of filing a ☒ Continuation ☐ Divisional ☐ Continuation-in-Part

☐ Assignment of the invention to Medtronic, Inc.

☐ Assignment cover sheet

☐ Information Disclosure Statement

☐ PTO Form 1449

☐ Copies of IDS citations

☐ Preliminary Amendment

☒ A copy of the Petition or Condition Petition for Extension of Time in the prior application

☒ Return postcard

IF A CONTINUING APPLICATION:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application no. 09/270,540.

☒ Amend the specification by inserting before the first line the sentence: This application is a  
☒ Continuation ☐ Divisional ☐ Continuation-in-Part of application number 09/270,540 filed 3/17/99

☒ Cancel in this application original claims 4-9 of the prior application before calculating the filing fee. (At least one of the original independent claims must be retained for filing purposes.)

☒ The prior application is assigned of record to Medtronic, Inc.

☒ The Power of Attorney in the prior application is to: Curtis D. Kinghorn

00/02/21  
80157/60  
PTO S U 5460 C

This application claims the benefit of U.S. Provisional Application(s) Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

☒ Address all future correspondence to:

Curtis D. Kinghorn  
Reg. No. 33,926  
Medtronic, Inc.  
710 Medtronic Parkway NE  
Minneapolis, MN 55432  
Telephone: (763) 505-0422

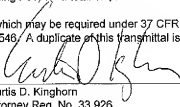
FEE CALCULATION

	No. Of Claims Filed	Claims Included in Base Fee	No. Of Extra Claims	Rate	Fee
Total Claims	3	20	0	x \$ 18	0
Independent Claims	3	3	0	x \$80	0
Multiple Dependent Claim(s)				+ \$ 260	
Basic Filing Fee			0		\$710.00
				TOTAL	\$710.00

☒ Charge Deposit Account No. 13-2546 the sum of \$710.00 (Filing Fee) for a total of **\$710.00**

☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 13-2546. A duplicate of this transmittal is enclosed.

Dec. 20, 2000  
Date

  
Curtis D. Kinghorn  
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09745108-12000

Attorney's Docket No. P-8640.01

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: William J. Bertrand

Serial No.: 09/270,540

Group No.: 3762

Filed: March 17, 1999

Examiner: J. Trissell

For: **Tool for Adjusting an Implantable Adjustable Fluid Flow Control Valve**

Assistant Commissioner for Patents  
 Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,  
 DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- ☒ continuation  
☐ continuation-in-part  
☐ divisional  
☐ continued prosecution

application for this case

☒ concurrently herewith.☐ on \_\_\_\_\_

Date


**SIGNATURE OF ATTORNEY**

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**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

*(When using Express Mail, the Express Mail label number is mandatory;  
 Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner  
 for Patents, Washington, D.C. 20231

**37 CFR 1.8(a)**☐ with sufficient postage as first class mail**37 CFR 1.10**

☒ as "Express Mail Post Office to Address  
 Mailing Label No. EL084632534US

**TRANSMISSION**☐ transmitted by facsimile to the Patent and Trademark Office.

**Signature**

Stacy L. Lachowitzer

(type or print person certifying)

Date: 12/20/00

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